

Neutrino Oscillation Workshop

*Conca Specchiulla (Otranto, Lecce, Italy)
September 4-11, 2010*

Leptogenesis and Neutrino Masses

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September 5, 2010

Baryogenesis: explaining one single experimental number

$$\eta \equiv \frac{n_B - \bar{n}_B}{n_\gamma} = (6.21 \pm 0.16) \times 10^{-10},$$

$$Y_{\Delta B} \equiv \frac{n_B - \bar{n}_B}{s} = (8.75 \pm 0.23) \times 10^{-11}$$

[WMAP, BAO, SN-IA]

$$4.7 \times 10^{-10} \leq \eta \leq 6.5 \times 10^{-10},$$
$$0.017 \times \leq \Omega_B h^2 \leq 0.024$$

[BBN: Light Elements Abundances]

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Particle physics models for baryogenesis relate $Y_{\Delta B}$ to other observables.

Leptogenesis: is a class of scenarios where the Universe baryon asymmetry ($Y_{\Delta B}$) is produced from a lepton asymmetry ($Y_{\Delta L}$) generated in the decays of the heavy $SU(2)$ singlet *seesaw* Majorana neutrinos.

Baryon Asymmetry \Leftrightarrow Neutrino Physics

THE SM WITH THE SEESAW

Minimal extension of the SM: add $n = 3$ singlet neutrinos

$$-\mathcal{L} = \frac{1}{2} M_{N_i} \bar{N}_i^c N_i^c + \lambda_{i\alpha} \bar{N}_i \ell_\alpha \tilde{H}^\dagger + h_\alpha \bar{e}_\alpha \ell_\alpha H^\dagger + \text{h.c.}$$

Basis: $M_N = \text{diag}(M_1, M_2, M_3)$; diagonal charged lepton Yukawas h_α

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In terms of the diagonal light ν mass-matrix: $m_\nu \equiv \text{diag}(m_1, m_2, m_3)$:

$$\lambda_{j\alpha} = \frac{1}{\langle H \rangle} \left[\sqrt{M_N} \cdot R \cdot \sqrt{m_\nu} \cdot U^\dagger \right]_{j\alpha} \quad (\text{where } R^T R = 1 \text{ and } U U^\dagger = 1)$$

[Casas Ibarra NPB618 (2001)]

The seesaw model has **18** independent parameters (3 M_i plus 3 + 3 from complex angles in R ; 3 m_{ν_i} plus 3 angles and 3 phases in U). 3+6 parameters can be measured (in principle) at low energy, 3+6 are confined to high energy.

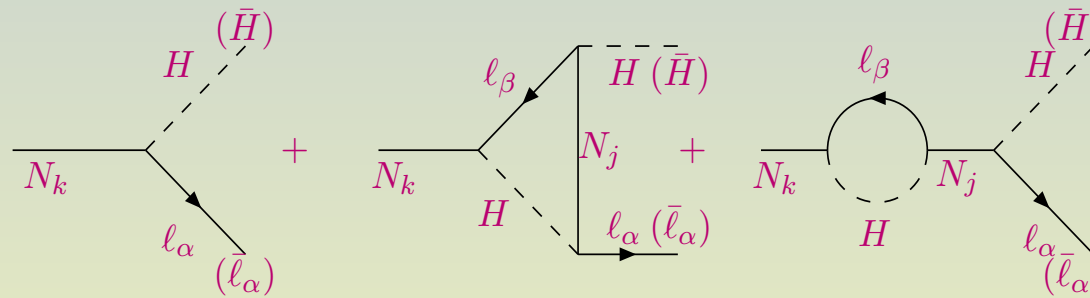
The SM+seesaw satisfies all the Sakharov conditions ('67)

1. \cancel{L} : Majorana masses M_N imply lepton number violation ($\Delta L \neq 0$)
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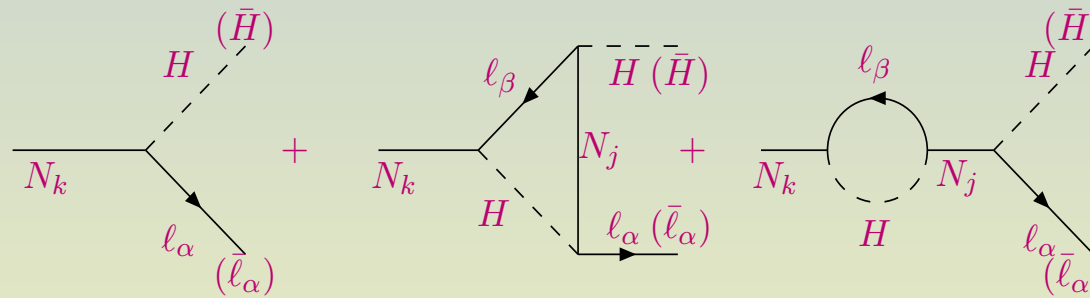
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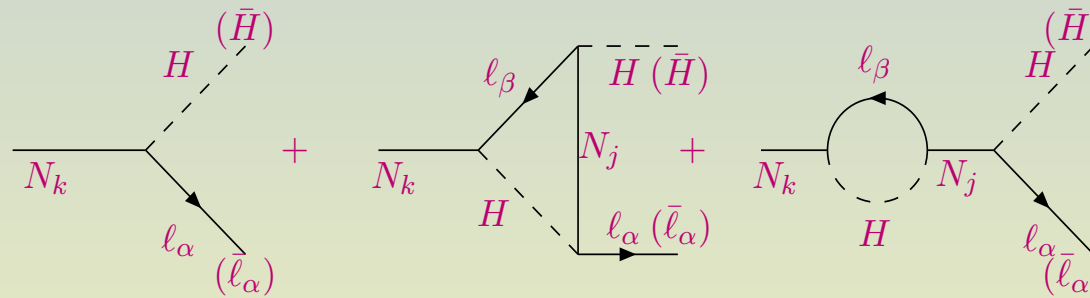


- Deviations from thermal equilibrium: If $\tau_N \sim t_U$ ($T \sim M_N$) the N 's decay out-of-equilibrium. And since $t_U \sim H^{-1}$ the condition is: $\Gamma_N \sim H|_{T \sim M_N}$.

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Whether leptogenesis can explain the baryon asymmetry of the Universe, is basically a quantitative question.

No asymmetry can be generated in thermal equilibrium

[S. Weinberg, PRL42 (1979), p.850 (2009)]

Consider the one-family SM: $Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}$, u , d , $\ell = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$, e , H , N

We can have **6** chemical potentials: $Q \equiv \mu_Q = \mu_{u_L} = \mu_{d_L}$; $u \equiv \mu_{u_R}$; \dots
since for Majorana neutrinos the chempot vanishes: $M_N \neq 0 \Rightarrow \mu_N = 0$

Yukawa reactions can give **3** chemical equilibrium conditions:

$$Q + H = u$$

$$Q - H = d$$

$$\ell - H = e$$

Plus **1** from sphaleron chemical equilibrium (effective operator $\mathcal{O}_{EW} = QQQ\ell$)

$$(B + L)_{SU(2)} = 0 \quad \Rightarrow \quad 3Q + \ell = 0$$

Plus **1** constraint from hypercharge conservation (global neutrality):

$$\mathcal{Y}_{\text{tot}} = \sum_{\phi} \Delta n_{\phi} y_{\phi} = \text{const} \quad \Rightarrow \quad \sum_f g_{\phi} \mu_{\phi} y_{\phi} = 0$$

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Adding N Yukawa chemical equilibrium: $\ell + H = 0 \quad \Rightarrow \quad \underline{Q, u, d, \ell, e, H = 0!}$

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Chemical equilibrium \Leftrightarrow conservation law: $h_e \rightarrow 0 \Leftrightarrow \Delta n_e = 0$
 $\Gamma_{\text{sphal}} \rightarrow 0 \Leftrightarrow \Delta B = 0$

At each temperature, one chempot (ℓ) is sufficient to describe the asymmetries.

Open parenthesis: Supersymmetric Leptogenesis

[C.S. Fong, M.C. Gonzalez-Garcia, EN, J. Racker, arXiv:1009.0003]

Leptogenesis can only proceed at temperatures $T \gg 10^8 \text{ GeV}$ where:

$$\Gamma_{m_{\tilde{g}}} \sim m_{\tilde{g}}^2/T \ll H \quad \Rightarrow \quad m_{\tilde{g}} \rightarrow 0 \quad \Rightarrow \quad \tilde{g} \neq 0,$$

$$U(1)_R$$

$$\Gamma_{\mu} \sim \mu^2/T \ll H \quad \Rightarrow \quad \mu_{H_u H_d} \rightarrow 0 \quad \Rightarrow \quad H_u + H_d \neq 0,$$

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Both these new symmetries have mixed $SU(2)$ and $SU(3)$ anomalies:

[Ibañez & Quevedo: PLB 283, 261 (1992)]

$$\begin{aligned} \mathcal{O}_{EW} &\Rightarrow \tilde{\mathcal{O}}_{EW} = \Pi_{\alpha}(QQQ\ell_{\alpha}) \tilde{H}_u \tilde{H}_d \tilde{W}^4 & \mathcal{A}(R_3) = \mathcal{A}(R - 3PQ) = 0 \\ \mathcal{O}_{QCD} &\Rightarrow \tilde{\mathcal{O}}_{QCD} = \Pi_i(QQ u^c d^c)_i \tilde{g}^6 & \mathcal{A}(R_2) = \mathcal{A}(R - 2PQ) = 0 \end{aligned}$$

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$$\mathcal{O}_{QCD} \Rightarrow \tilde{\mathcal{O}}_{QCD} = \Pi_i(QQQu^c d^c)_i \tilde{g}^6 \quad \mathcal{A}(R_2) = \mathcal{A}(R - 2PQ) = 0$$

We end up with a leptogenesis picture quite different from the usual one:

- Particle sparticle non-superequilibration: $\mu_{\tilde{\psi}} = \mu_{\psi} \pm \tilde{g}$
- A new global charge neutrality condition ($\mathcal{R} = \frac{5}{3}B - L + R_2$) $\Delta\mathcal{R} = 0$
- The sneutrino density asymmetry $\Delta_{\tilde{N}} = n_{\tilde{N}} - n_{\tilde{N}^*}$
joins the leptonic asymmetries $\Delta_{\alpha} = \frac{B}{3} - L_{\alpha}$ as a new independent quantity

[... admittedly, with no striking numerical consequences ...]

Coming back to neutrino masses ...

Sakharov III: The N lifetime Γ_N^{-1} should be of the order of the Universe lifetime H^{-1} at the time when $T \sim M$.

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$$H = \sqrt{\frac{8\pi G_N \rho}{3}} \simeq 1.7 \sqrt{g_*} \frac{T^2}{M_P} \quad m_* \equiv 16\pi \frac{v^2}{M^2} \times H(M) \approx 10^{-3} \text{eV}$$

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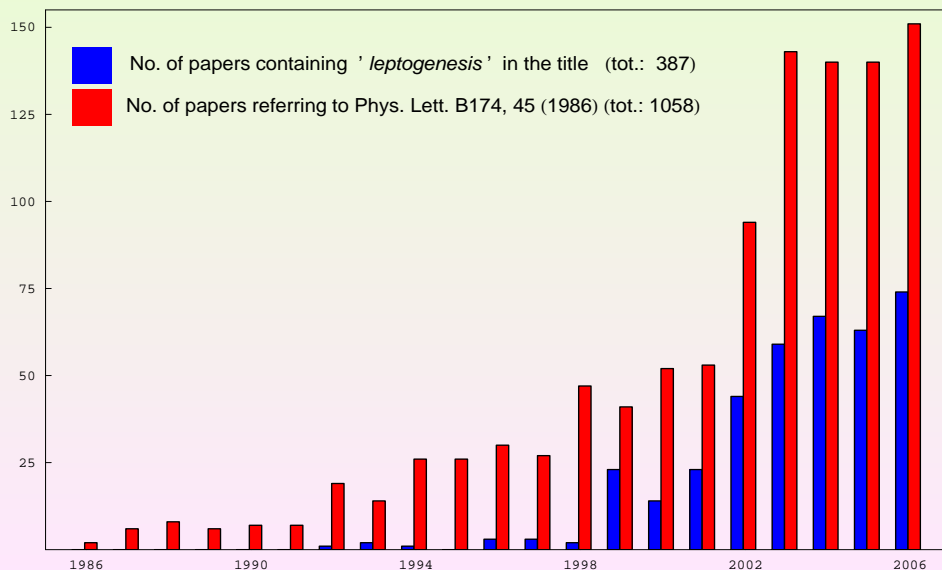
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Experimental confirmation of $m_\nu \neq 0$
in the correct mass range for **LeptoG**:
 \implies burst of lepto-papers around Y2K.

Do we have a limit on m_ν from LeptoG ? The DI bound:

[S. Davidson & A. Ibarra, PLB 535 (2002)]

[W. Buchmüller, P. Di Bari & M. Plümacher; S. Blanchet & P. Di Bari;]

[T. Hambye, Y. Lin, A. Notari, M. Papucci & A. Strumia; ...]

Computation of $\epsilon_\alpha = \frac{\Gamma_{\ell_\alpha} - \Gamma_{\bar{\ell}_\alpha}}{\Gamma_N}$ (vertex + self-energy) yields :

$$\epsilon_\alpha = \frac{-1}{8\pi(\lambda\lambda^\dagger)_{11}} \sum_{j \neq 1} \text{Im} \left\{ \lambda_{j\alpha} \lambda_{1\alpha}^* \left[\underbrace{\frac{3M_1}{2M_j} (\lambda\lambda^\dagger)_{j1}}_{\mathcal{I}: D_5 = (\ell\phi)^2} + \underbrace{\frac{M_1^2}{M_j^2} (\lambda\lambda^\dagger)_{1j}}_{\mathcal{L}: D_6 = (\bar{\ell}\phi^*)\not{\partial}(\ell\phi)} + \underbrace{\frac{5M_1^3}{6M_j^3} (\lambda\lambda^\dagger)_{j1}}_{\mathcal{I}: D_7 = (\ell\phi)\partial^2(\ell\phi)} + \dots \right] \right\}$$

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$$\text{DI: } \left| \epsilon^{(D_5)} \right| = \left| \sum_\alpha \epsilon_\alpha^{(D_5)} \right| \leq \frac{3}{16\pi} \frac{M_1}{v^2} (m_3 - m_1) \xrightarrow{m_3 \approx m_1} \left| \epsilon^{(D_5)} \right| \leq \frac{3}{16\pi} \frac{\Delta m_\oplus^2}{2v^2} \frac{M_1}{m_3}$$

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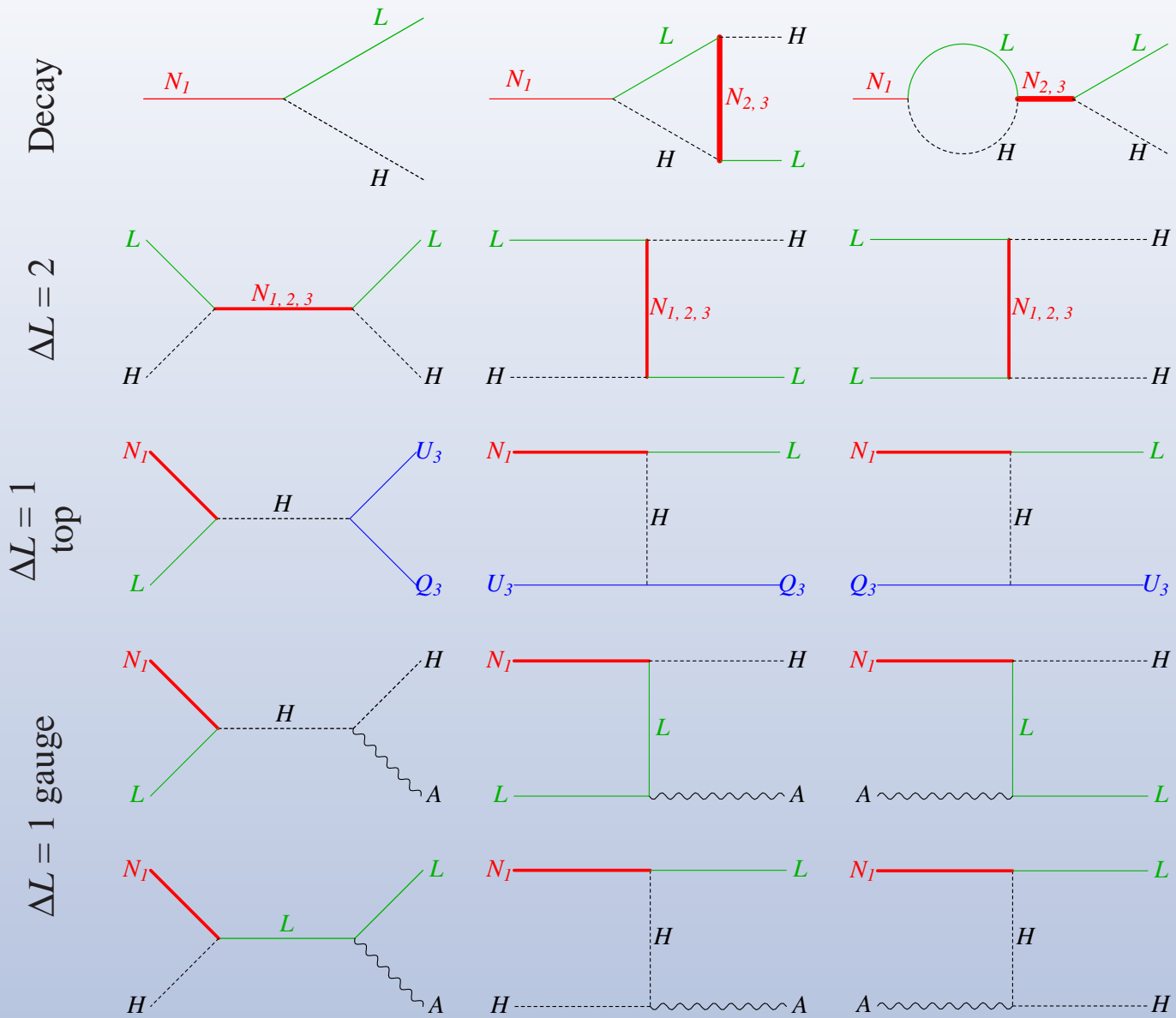
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- Holds only for large hierarchies $M_1 \gg M_{2,3}$. (D_7 can dominate when $m_3 - m_1 \approx 0$).
- Applies only in the unflavored regime $T \gtrsim 10^{12}$ GeV. (No DI for flavored ϵ_α .)
- Applies only if leptogenesis is N_1 dominated. (No DI for the heavier sneutrinos $\epsilon_{2,3}$.)

Still, if $m_\nu^{\text{obs}} > m_\nu^{\text{max}}$ (cosmology?) one of the above conditions is not realized.

What is the Limit? – (CP asymmetry and collision diagrams)

[L.A.Muñoz, EN & J.Noreña, unpublished]



Network of (unflavored) Boltzmann equations

$$1. \quad \dot{Y}_N = - \left(\frac{Y_N}{Y_N^{eq}} - 1 \right) (\gamma_D + 2\gamma_{S_s} + 4\gamma_{St}),$$

$$2. \quad \dot{Y}_{\Delta L} = \left(\frac{Y_N}{Y_N^{eq}} - 1 \right) \epsilon_1 \gamma_D - \left[2y_\ell + (y_t - y_{Q_3}) \left(\frac{Y_N}{Y_N^{eq}} + 1 \right) \right] \gamma_{St} \\ - \left(\frac{Y_N}{Y_N^{eq}} y_\ell + y_t - y_{Q_3} \right) \gamma_{S_s} - 2(y_\ell + y_H) (\gamma_{N_s} + \gamma_{N_t}) + \dot{Y}_{\Delta L}^{EW}$$

$$3. \quad \dot{Y}_{\Delta B} = \dot{Y}_{\Delta B}^{EW} \quad \underline{\text{But sphalerons conserve } B - L:} \quad \dot{Y}_{\Delta B}^{EW} - \dot{Y}_{\Delta L}^{EW} = 0$$

Eliminate the sources $Y_{L,B}^{EW}$ subtracting 2. from 3. and express all asymmetries in terms of $B - L$:

$$y_\ell \equiv -c_\ell \frac{Y_{B-L}}{Y^{eq}}; \quad y_H \equiv -c_H \frac{Y_{B-L}}{Y^{eq}} \quad \text{using also:} \quad y_t - y_{Q_3} = \frac{y_H}{2}$$

$$\dot{Y}_{B-L} = - \left(\frac{Y_N}{Y_N^{eq}} - 1 \right) \left[\epsilon_1 \gamma_D + \left(c_\ell \gamma_{S_s} + \frac{c_H}{2} \gamma_{St} \right) \frac{Y_{B-L}}{Y^{eq}} \right] - \\ \left[(2c_\ell + c_H) \left(\gamma_{St} + \frac{1}{2} \gamma_{S_s} \right) + 2(c_\ell + c_H) (\gamma_{N_s} + \gamma_{N_t}) \right] \frac{Y_{B-L}}{Y^{eq}}$$

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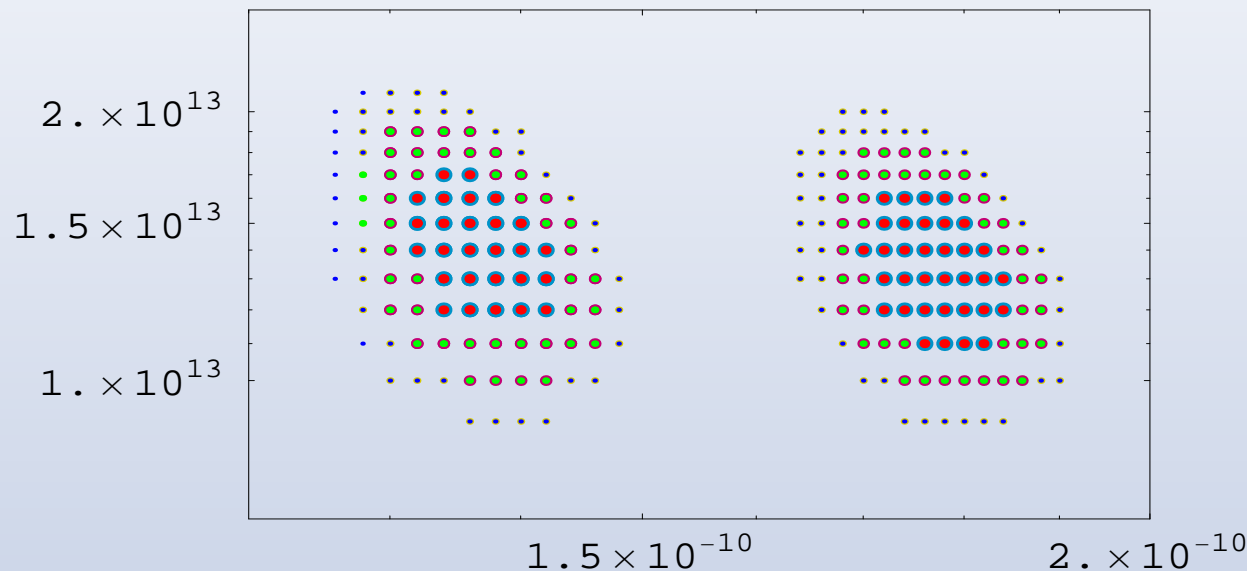
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The leptogenesis limit on m_{ν_3} . (Relevance of Higgs effects)

[L.A.Muñoz, EN & J.Noreña, unpublished]

- Vertical axis: the lightest heavy neutrino mass M_1 (GeV);
- Horizontal axis: the “washout parameter” $\tilde{m}_1 = v^2 \frac{(\lambda\lambda^\dagger)_{11}}{M_1}$ (GeV).



M_1 - \tilde{m}_1 values yielding successful leptogenesis, for different values of m_{ν_3} ($3\text{-}\sigma$)

- **Right picture:** Effects of the Higgs asymmetry neglected ($c_H = 0$).
Small, medium, large points: $m_{\nu_3} = 0.161, 0.162, 0.163$ eV.
- **Left picture:** Effects of the Higgs asymmetry included ($c_H = -1/3$).
Small, medium, large points: $m_{\nu_3} = 0.130, 0.131, 0.132$ eV.

$$m_{\nu_3}^{\max} = 0.13 \text{ eV}$$

$$\tilde{m}_1^{\max} = 0.28 \text{ eV}$$

Recap: Mass limits in Basic Leptogenesis (Seesaw type I):

- The One Flavor Regime ($T \gtrsim 10^{12}$ GeV): Constraints
 - ◆ If N 's are strongly hierarchical, the DI limit on the maximum CP asymmetry for N_1 holds, and $m_\nu^{\max} = 0.13$ eV.
 - ◆ If light N 's are only mildly hierarchical or degenerate, there is NO BOUND on m_ν from the requirement of successful leptogenesis!
- Leptogenesis with flavors:
 - ◆ Additional sources of CP violation: it can easily be $\epsilon_\alpha > \epsilon$.
 - ◆ We can have successful leptogenesis also for degenerate light neutrinos and for a wider range for the washout parameter \tilde{m}_1 .
 - ◆ There is NO BOUND on absolute scale of light neutrinos.
- Leptogenesis with heavy flavors N_2 and N_3 can be successful with:
 - ◆ N_1 in the decoupled regime $\epsilon_1 \approx 0$, $\tilde{m}_1 \ll m_*$. $\epsilon_{2,3}$ dominate.
 - ◆ N_1 in a strongly coupled regime, if $\ell_{2,3}$ are strongly misaligned with ℓ_1 .
 - ◆ In both cases there is NO BOUND on absolute scale of light neutrinos.

Beyond SM + type 1 seesaw, and beyond the seesaw

- SUSY Leptogenesis

- ◆ The SUSY seesaw model gives a qualitatively different (but quantitatively similar) realization of leptogenesis.
- ◆ Alternative mechanisms: Soft Leptogenesis can be successful at much lower scale, because has new sources of \mathcal{CP} .
- ◆ Alternative mechanisms: Affleck-Dine

- Different types of Seesaw:

- ◆ Type I seesaw (standard: $SU(2)_L$ singlets Majorana neutrinos)
- ◆ Type II seesaw ($SU(2)_L$ scalar triplet)
- ◆ Type III seesaw ($SU(2)_L$ fermion triplet)

- Dirac Leptogenesis

- ◆ Leptogenesis without lepton number violation

Leptogenesis: proving vs. disproving.

Direct tests: Produce N 's and measure the CP asymmetry in their decays

$$m_\nu \sim \frac{\lambda^2 v^2}{M_N} \sim \left(\frac{\lambda}{10^{-6}} \right)^2 \left(\frac{1 \text{ TeV}}{M_N} \right) \sqrt{\Delta m_{atm}^2} \quad \text{Not possible!}$$

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Leptogenesis. $\Delta L \Rightarrow \Delta B$: almost unavoidably $\Delta L_e \neq \Delta L_\mu \neq \Delta L_\tau$ ($T \gggg m_\nu$)

However, for non-relativistic Majorana neutrinos the ΔL information is lost,
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Indirect tests: Reconstruct the complete seesaw model

18 parameters *vs.* 9 observables : $3m_\nu + 3\theta_{ij} + \delta, \alpha_1, \alpha_2$ Not possible!

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Non-Abelian flavor symmetry



Large reduction in the number of (seesaw) parameters



New connections between LE observables and HE quantities



New information on crucial HE leptogenesis parameters

[See S. Morisi talk (Monday, Branch V)]

Recent works: Jenkins & Manohar; E. Bertuzzo, P. Di Bari, F. Feruglio, EN; Hagedorn, Molinaro & Petcov; D. Aristizabal Sierra, F. Bazzocchi, I. de Medeiros Varzielas, L. Merlo, S. Morisi,; Gonzalez Felipe & Serodio.

About future experiments? *We can hope for circumstantial evidences...*

by proving that (some of) the Sakharov conditions are (likely to be) satisfied:

1. L violation: Is provided by the Majorana nature of the N 's: $\ell_\alpha \phi \leftrightarrow N \leftrightarrow \bar{\ell}_\beta \bar{\phi}$

Experimentally: we hope to see $0\nu 2\beta$ decays (requires IH or quasi degenerate ν 's)
[Iachiello & Giuliani talks, and afternoon's Branch I]

If m_ν is measured @ 0.2 eV (Cosmology? - Cooray, Melchiorri) and $0\nu 2\beta$ is not seen?

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3. Out of equilibrium dynamics in the early Universe: (apparently the most difficult)

We have seen that can be satisfied for $\tilde{m}_1 \sim 10^{-3} \div 10^{-1}$ eV (optimal values)

This could well be the first circumstantial evidence !

Conclusions and Outlook

- Leptogenesis is a very attractive scenario to explain $Y_{\Delta B}$.
- Recent developments have shown that *quantitative* and *qualitative* estimates of $Y_{\Delta B}$ have to take into account lepton flavors and the heavier Majorana neutrinos.
- Implications for neutrino masses ($m_\nu \lesssim 0.13 \text{ eV}$) established in the one-flavor regime and for hierarchical N 's do not hold in general.

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- Finally, LHC + EDM experiments will be able to establish or falsify EWB. This will indirectly determine the relevance of future LG studies.

Under what conditions low & high eng. \mathcal{CP} can be connected?

[G.C.Branco & al. NPB617,(2001); S.Davidson, J.Garayoa, F.Palorini, N.Rius PRL99,2007; JHEP0809,2008.]

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EN,Nir,Roulet,Racker,JHEP0601,2006

1: ϵ_α depends only on the ν -mix-matrix U !

2: [$\epsilon = 0$, but $\epsilon_\alpha \neq 0$, and thus $Y_{\Delta B} \neq 0$]

Flavor: the lepton basis issue

To simplify: neglect $N_{2,3}$ except for their effects in the loops (CP asymmetry)

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Different bases give different results. The approx. solution of the BE for LG:

$$Y_{\Delta B} \approx 10^{-3} \times \eta_\ell \cdot \epsilon_\ell \quad \eta_\ell \sim \frac{m_*}{\tilde{m}_\ell} \text{ (strong washout); } \tilde{m}_\ell \propto \lambda_{\ell 1}^* \lambda_{\ell 1}$$

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The physical basis is determined dynamically at each T by the h -reaction rates.

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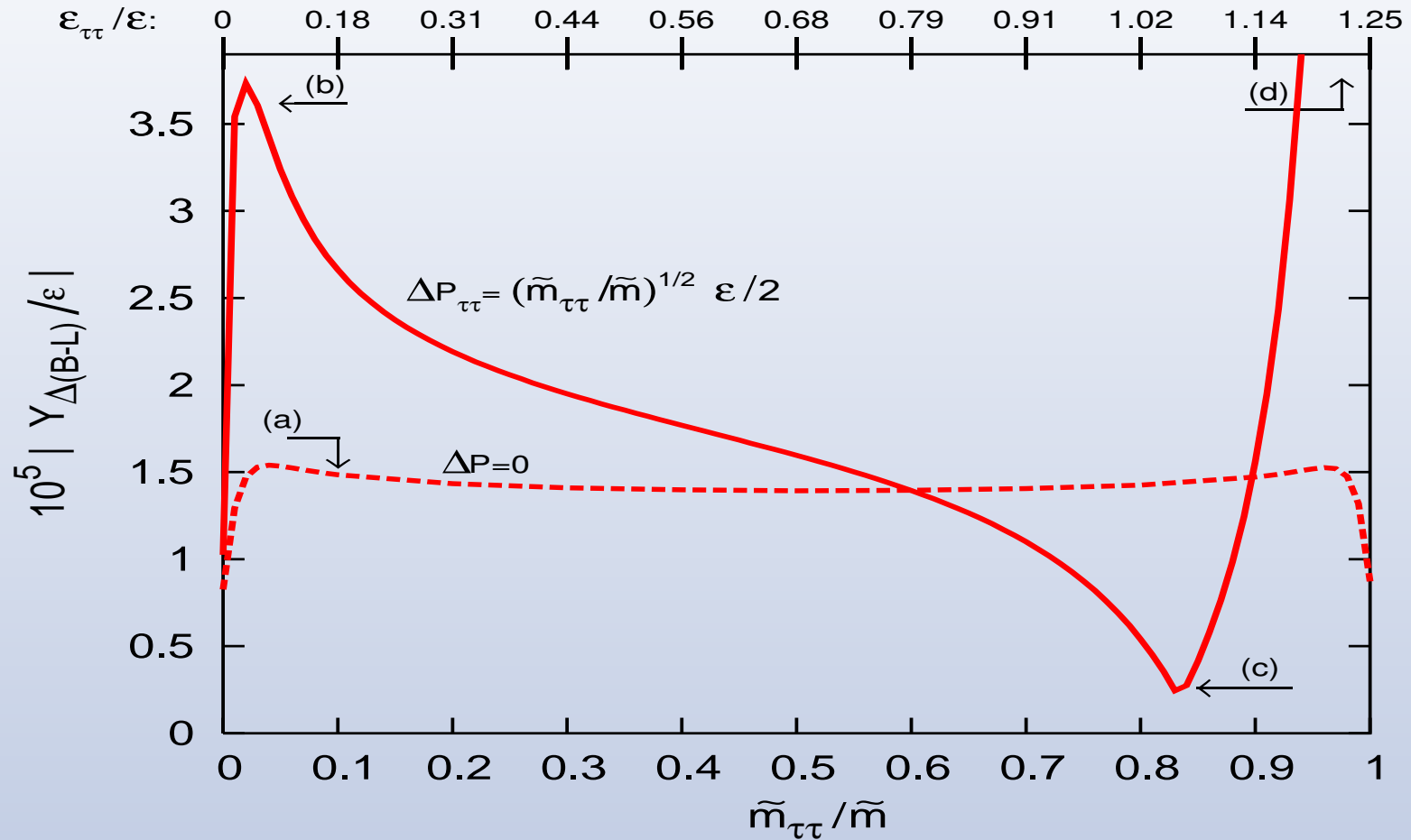
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The most interesting effects are due to the different flavor composition of $\ell_1, \bar{\ell}'_1$:

$$CP(\bar{\ell}'_1) \neq \ell_1 \Rightarrow \Delta P_\alpha \equiv P_\alpha - \bar{P}_\alpha \neq 0$$

Two-flavor case: $l_\tau, l_{\perp\tau}$ ($10^9 \text{ GeV} < T < 10^{12} \text{ GeV}$): $|Y_{\Delta(B-L)}|$ versus P_τ^0



$|Y_{\Delta(B-L)}|$ (units of $10^{-5}|\epsilon|$) as a function of $P_\tau^0 \equiv |\langle l_\tau | l_1 \rangle|^2$ in the 2-flavor regime. Dashed: special case in which $P_\tau = \bar{P}_\tau$. Solid: typical behavior when $P_\tau \neq \bar{P}_\tau$. The value of $\epsilon_1^\tau/\epsilon_1$ (that can be > 1) is marked on the upper x -axis.

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Assuming that R is real implies surprising results:

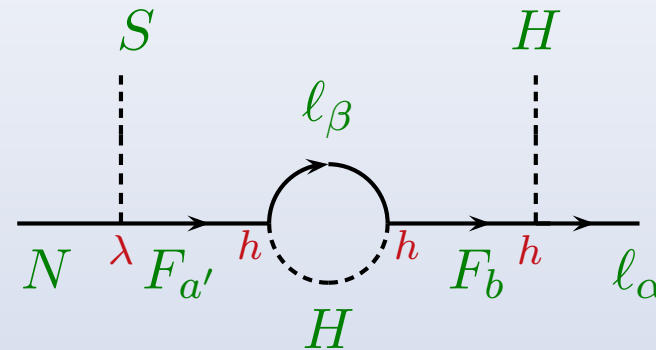
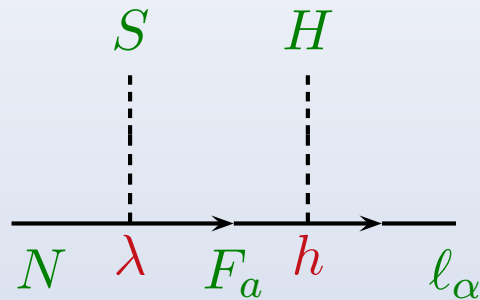
- 1: $\epsilon = 0$, but $\epsilon_\alpha \neq 0$, and thus $Y_{\Delta B} \neq 0$
- 2: ϵ_α depends only on the ν -mix-matrix U !

Recent studies of this scenario: Pastore *et al.*; Branco *et al.*;

Purely Flavored Leptogenesis: Beyond the SM+seesaw

[D. Aristizabal, M. Losada, EN, PLB659 (2008)]

Assume a $U(1)_F$ (flavor) symmetry that forbids a direct $\bar{\ell}NH$ coupling, and that the flavor symmetry is still unbroken during LG: $\langle S \rangle = 0$.



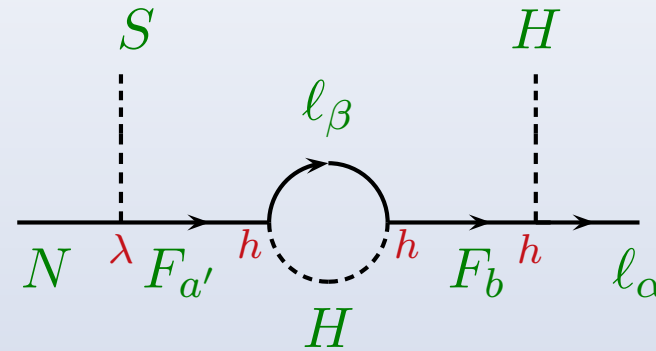
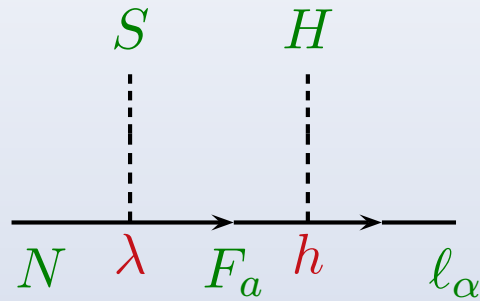
$$\tilde{\lambda}_{\alpha K} = \left(h \frac{\langle S \rangle}{M_F} \lambda^\dagger \right)_{\alpha K};$$

$$\epsilon = \sum_{\alpha} \epsilon_{\alpha} = 0$$

Purely Flavored Leptogenesis: Beyond the SM+seesaw

[D. Aristizabal, M. Losada, EN, PLB659 (2008)]

Assume a $U(1)_F$ (flavor) symmetry that forbids a direct $\bar{\ell}NH$ coupling, and that the flavor symmetry is still unbroken during LG: $\langle S \rangle = 0$.



$$\tilde{\lambda}_{\alpha K} = \left(h \frac{\langle S \rangle}{M_F} \lambda^\dagger \right)_{\alpha K};$$

$$\epsilon = \sum_{\alpha} \epsilon_{\alpha} = 0$$

$$\epsilon_{\alpha} = \frac{3}{128\pi} \frac{\text{Im} \sum_{\beta} \left[(hr^2h^\dagger)_{\beta\alpha} \tilde{\lambda}_{1\beta} \tilde{\lambda}_{1\alpha}^* \right]}{(\tilde{\lambda}\tilde{\lambda}^\dagger)_{11}} \sim \mathcal{O}(h^2);$$

$$\tilde{m}_{\alpha} \sim \mathcal{O}(\tilde{\lambda}^2); \quad m_{\nu} \sim \frac{\tilde{\lambda}^2 v^2}{M_N} \sim \mathcal{O}(\tilde{\lambda}^2)$$

By decoupling ϵ_{α} from \tilde{m}_{α} , m_{ν} the LG scale can be lowered: $M_N \sim \text{few TeV}$.

